



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of **Pier Giuseppe Pelicci et al.**  
Application No. 09/937,182  
Attorney Docket No. 0380-P02669US0  
Filed: January 18, 2002  
For: MATERIALS AND METHODS RELATING TO  
MODULATION OF p66 EXPRESSION  
Examiner: Not Yet Assigned  
Group Art Unit: Not Yet Assigned

RECEIVED  
DEC 13 2002  
TECH CENTER 1600/2900

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to ASSISTANT COMMISSIONER FOR PATENTS, Washington, D.C. 20231.

12/4/02  
Date of Certificate

Janice Nightlinger  
Janice Nightlinger

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97**


In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of the application. However, Applicants have not yet received an action on the merits of the application. Accordingly, Applicants believe that no fee is required pursuant to 37 C.F.R. § 1.97 (b) (3). In the event a fee is required, the Commissioner is authorized to charge the required fees to deposit account no. 04-1406. A duplicate copy of this sheet is included for fee processing, if necessary.

Applicants respectfully request full and proper consideration of the listed

information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN  
A Professional Corporation  
Attorneys for Applicant(s)

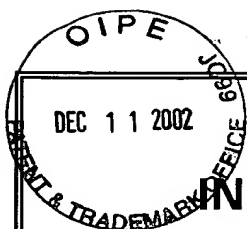
By   
Kathleen D. Rigaut, Ph.D., J.D.  
PTO Registration No. 43,047

Telephone: (215) 563-4100

Facsimile: (215) 563-4044

Enclosures - Form PTO-1449

Copies of references listed on PTO - 1449



# INFORMATION DISCLOSURE STATEMENT

SHEET 1 OF 1

Complete if known **RECEIVED**

Application Number: 09/937,182

Filing Date: January 18, 2002

First Named Inventor: Pier Giuseppe P

Group Art Unit: Not Yet Assigned

Examiner Name: Not Yet Assigned

Attorney Docket Number: 0380-P02669USO

## UNITED STATES PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT
	B1	WO 96/17866	WO	06/13/1996	The Regents of The University of California

## OTHER PRIOR ART - NON-PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	HARUN, R.B. et al. "Characterization of Human <i>SHC</i> p66 cDNA and Its Processed Pseudogene Mapping to Xq12-q13.1"; Genomics, 42: 349-352 (1997)
	C2	EL-SHEMERLY, M.Y.M. et al. "12-O-Tetradecanoylphorbol-13-acetate Activates the Ras/Extracellular Signal-regulated Kinase (ERK) Signaling Pathway Upstream of SOS Involving Serine Phosphorylation of Shc in NIH3T3 Cells"; The Journal of Biological Chemistry, 272(49): 30599-30602 (1997)
	C3	LESLIE, N.R. et al. "An Activating Mutation in the Kit Receptor Abolishes the Stroma Requirement for Growth of ELM Erythroleukemia Cells, But Does Not Prevent Their Differentiation in Response to Erythropoietin"; Blood, 92(12): 4798-4807 (1998)
	C4	MIGLIACCIO, E. et al. "Opposite effects of the p52 <sup>shc</sup> /p46 <sup>shc</sup> and p66 <sup>shc</sup> splicing isoforms on the EGF receptor-MAP kinase-fos signalling pathway"; The EMBO Journal, 16(4): 706-716 (1997)
	C5	RAO, G.N. et al. "Role of Hydroperoxyeicosatetraenoic Acids in Oxidative Stress-induced Activating Protein 1 (AP-1) Activity"; The Journal of Biological Chemistry, 271(44): 27760-27764 (1996)
	C6	MIGLIACCIO, E. et al. "The p66 <sup>shc</sup> adaptor protein controls oxidative stress response and life span in mammals"; Nature, 402: 309-313 (1999)

EXAMINER'S SIGNATURE	DATE CONSIDERED
----------------------	-----------------

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.